



IFW \$ 2875

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q65904

Hideharu OSAWA

Appln. No.: 09/937,664

Group Art Unit: 2875

Confirmation No.: 5123

Examiner: Anabel Ton

Filed: September 28, 2001

For: SURFACE ILLUMINANT

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/937,664

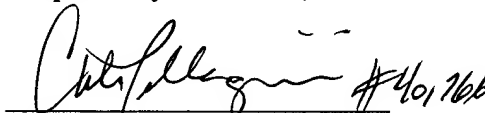
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith copies of a Communication from a foreign patent office in a counterpart application citing such documents.

Applicant also submits herewith English language Abstracts as a concise statement of relevance for **Japanese Publication Nos. 05-072532, 08-129176, 07-218912, 09-138400, 10-154409, 08-094844, 07-294745, 07-104296, 54-092345, 62-153938 and 09-164622.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,


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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 4, 2006

Substitute for Form 1449 A & B/PTO		Complete if Known	
		Application Number	09/937,664
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Confirmation Number	5123
		Filing Date	September 28, 2001
		First Named Inventor	Hideharu OSAWA
		Art Unit	2875
		Examiner Name	Anabel Ton
		Attorney Docket Number	Q65904
Sheet	1	of	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	08-129176	A	05-21-1996	SHARP CORPORATION	Abstract
		JP	05-072532	A	03-26-1993	HIRASHIRO YOSHIMICHI	Abstract
		JP	07-218912	A	08-18-1995	MITSUBISHI PAPER MILLS LTD.	Abstract
		JP	09-138400	A	05-27-1997	ASAHI OPTICAL CO. LTD.	Abstract
		JP	10-154409	A	06-09-1998	ROHM CO. LTD.	Abstract
		JP	08-094844	A	04-12-1996	FUJITSU LTD.	Abstract
		JP	07-294745	A	11-10-1995	FANUC LTD. MOLD KENKYUSHO: KK	Abstract
		JP	07-104296	A	04-21-1995	ASAHI CHEM CO. IND.CO. LTD.	Abstract
		JP	54-092345	A	07-21-1979	SEIKO EPSON CORPORATION	Abstract
		JP	62-153938	A	07-08-1987	SANDEN CORPORATION	Abstract
		JP	09-164622	A	06-24-1997	OIKE IND. CO. LTD.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.